



Birth defects: Causes

Author: Carlos A Bacino, MD, FACMG

Section Editors: Helen V Firth, DM, FRCP, DCH, Louise Wilkins-Haug, MD, PhD

Deputy Editor: Elizabeth TePas, MD, MS

All topics are updated as new evidence becomes available and our peer review process is complete.

Literature review current through: May 2017. | This topic last updated: Dec 19, 2016.

**INTRODUCTION** — A birth defect is any structural anomaly present at birth. These defects can be caused by genetic abnormalities and/or environmental exposures, although the underlying etiology is often unknown. Birth defects can be isolated or present in a characteristic combination or pattern that may affect one or more organ systems. A genetic disorder or genetic abnormality is caused by a change or mutation in the genome that often leads to a medical consequence. Even though most genetic disorders are congenital, they can have a delayed clinical presentation or even adult onset. These disorders can be inherited or the result of a new mutation.

Please wait

This topic discusses the causes of birth defects. The epidemiology, types, patterns, and evaluation of birth defects are discussed in detail separately, as are specific congenital anomalies. (See "Birth defects: Epidemiology, types, and patterns" and "Birth defects: Approach to evaluation".)

**OVERVIEW** — The causes of congenital anomalies are genetic and nongenetic [1].

Genetic abnormalities include:

- Chromosomal disorders (eg, Down syndrome) (see "<u>Down syndrome</u>: <u>Overview of prenatal screening</u>" and "<u>Down syndrome</u>: <u>Clinical features and diagnosis</u>")
- Single gene (monogenic) disorders, including those that are autosomal recessive, autosomal dominant, and X linked. The
  following are examples of single gene disorders causing limb malformations:
  - Autosomal recessive (eg, some forms of Adams-Oliver syndrome). This rare disorder is characterized by limb
    reduction defects (limb hypoplasia, absent digits, absent feet, syndactyly) often seen in association with cutis aplasia
    of the scalp. Although autosomal dominant forms are more common, this syndrome is caused by mutations in many
    different genes, including dominant and recessive genes. Mutations in the dedicator of cytokinesis 6 (DOCK6) gene
    cause a recessive form [2].
  - Autosomal dominant (eg, ectrodactyly, ectodermal dysplasia, cleft lip, and cleft palate [EEC]). Dominant mutations in
    the p63 gene are associated with EEC and other related syndromes [3,4]. Ectrodactyly is a major limb malformation
    characterized by absence or underdevelopment of central metacarpals and/or metatarsals leading to a split hand/foot
    deformity.
  - X linked (eg, focal dermal hypoplasia or Goltz syndrome). This syndrome is caused by mutations in the protein-serine O-palmitoleoyltransferase porcupine homolog (*PORCN*) gene at Xp11.23, which lead to severe limb malformations (absent digits, polydactyly, syndactyly) as well as cutaneous-dermal defects with fat herniation [5,6].

Nongenetic teratogenic etiologies include:

- Maternal phenylketonuria (PKU) or diabetes (see <u>'Maternal illnesses'</u> below and <u>"Overview of phenylketonuria", section on <u>'Phenylalanine embryopathy (maternal PKU)'</u> and <u>"Infant of a diabetic mother"</u>)</u>
- Drugs and chemical agents (eg, alcohol, oral isotretinoin) (see 'Drug exposure' below and 'Chemical agents' below)
- Infections (cytomegalovirus [CMV], rubella, Zika virus) (see <u>'Infectious agents'</u> below and <u>"Overview of TORCH infections"</u> and <u>"Zika virus infection: Evaluation and management of pregnant women"</u>)
- Fetal crowding due to multiple gestations (see "Neonatal complications, outcome, and management of multiple births", section on 'Congenital anomalies')

Multifactorial disorders are conditions that result from the interaction of multiple genes and environmental factors. They include:

• Cleft lip/palate (see "Etiology, prenatal diagnosis, obstetrical management, and recurrence of orofacial clefts" and "Facial clefts and holoprosencephaly")

- Congenital heart disease (see "Fetal cardiac abnormalities: Screening, evaluation, and pregnancy management" and
   "Cardiac causes of cyanosis in the newborn")
- Neural tube defects (see "Open neural tube defects: Risk factors, prenatal screening and diagnosis, and pregnancy management")

**GENETIC ABNORMALITIES** — Genetic abnormalities can range from a point mutation in a single gene disrupting developmental pathways or proteins to the presence of an additional or missing copy of an entire chromosome. (See "Overview of genetic variation" and "Basic principles of genetic disease".)

**Chromosomal disorders** — Chromosomal aberrations are due to a change in the normal chromosome number (aneuploidies) or a change in the structure of a chromosome (sizable deletions, microdeletions, duplications, translocations, and inversions).

The syndromes caused by congenital aneuploidies have several common characteristics:

- Greater than 90 percent of embryos/fetuses with congenital chromosomal abnormalities do not survive to term. In trisomy 21, for example, 40 percent of fetuses are lost after 12 weeks of gestation. Even higher embryonic and fetal loss rates are found with monosomy X [7].
- Multiple organ systems tend to be involved, especially the central nervous system. Intellectual disability, in particular, is a common abnormality in viable infants.
- The longevity and fertility of individuals with these conditions tend to be reduced.

Congenital chromosomal disorders are reviewed in greater detail separately. (See "Congenital cytogenetic abnormalities" and "Sex chromosome abnormalities" and "Chromosomal translocations, deletions, and inversions" and "Microdeletion syndromes (chromosomes 1 to 11)" and "Microdeletion syndromes (chromosomes 12 to 22)" and "Microdeletion syndromes".)

**Disorders due to single gene defects** — There is a wide range of birth defects caused by single gene defects. These defects include point mutations as well as small insertions or deletions (indels) that disrupt gene copying and protein synthesis. Inheritance is autosomal dominant, autosomal recessive, or sex linked. A single defect in one copy of a gene on an autosomal chromosome (not a sex X or Y chromosome) causes disease in disorders with autosomal dominant inheritance. In this case, the patient is heterozygous for the genetic defect. In disorders with autosomal recessive inheritance, both alleles of a gene on a autosomal chromosome are mutated, either the same mutation (homozygous mutation) or two different mutations (compound heterozygous). The last form of inheritance is sex linked (X-linked recessive inheritance is most common). Autosomal recessive conditions are more common when there is parental consanguinity (related as second cousins or closer). (See <u>'Overview'</u> above and <u>"Basic principles of genetic disease"</u> and <u>"Overview of genetic variation"</u> and <u>"Genetic counseling: Family history interpretation and risk assessment".)</u>

**Genetic disorders with non-Mendelian patterns of inheritance** — Other genetic variations that can cause disorders include imprinting disorders leading to differential expression of genetic material depending upon whether the gene or gene region is imprinted (eg, Beckwith-Wiedemann syndrome). (See "Beckwith-Wiedemann syndrome".)

**TERATOGENS** — A teratogen is an agent that can cause abnormalities in the form or function of a developing fetus (<u>table 1</u>). It acts by producing cell death, altering normal growth of tissues, or interfering with normal cellular differentiation or other morphologic processes. The consequences of these actions can be fetal loss, fetal growth restriction, birth defects (eg, a limb reduction), or impaired neurologic performance (eg, altered neural connections in the central nervous system in fetal alcohol syndrome).

Approximately 4 to 6 percent of birth defects are caused by exposure to teratogens in the environment [8]. These include maternal illnesses (eg, diabetes mellitus or phenylketonuria [PKU]), infectious agents (eg, TORCH - Toxoplasmosis, Other [syphilis, varicella-zoster, parvovirus B19], Rubella, Cytomegalovirus, and Herpes - infections), physical agents (eg, radiation or heat exposure), and drugs (eg, thalidomide, antiepileptic drugs) and chemical agents (eg, mercury).

Response to the teratogenic agent is highly individual and is influenced by multiple factors. These include maternal and fetal genotypes (genetic susceptibility), the dose of the agent, route of exposure, timing of exposure, and concurrent exposures or illnesses during gestation.

**Genetic susceptibility** — The genetic makeup of both the fetus and the mother determine the relative resistance or susceptibility to teratogenic agents. The degree of genetic susceptibility is separate from any specific genetic conditions that are known direct causes of birth defects.

As an example, albeit an oversimplified one, fetuses with defects in folate metabolism (eg, methylenetetrahydrofolate reductase [MTHFR] gene mutations) appear to be at increased risk for structural malformations such as neural tube defects [9], cleft lip and palate, and cardiac malformations [10]. The risk of these malformations may be decreased by maternal supplementation with folic acid in the preconceptional period and in early pregnancy [11,12]. Thus, a malformation such as an open neural tube

defect may result from genetic susceptibility related to a combination of factors consisting of the presence of a fetal *MTHFR* gene defect and a state of inadequate maternal folate intake.

Another example is that some fetuses have low or deficient epoxide hydrolase activity that results in increased levels of teratogenic oxidative metabolites when they are exposed to antiepileptic drugs [13].

Finally, certain birth defects may be seen with different frequencies across races or sexes. For example, postaxial polydactyly is more common in African Americans (approximately 1 percent) than Caucasians (approximately 0.1 percent). Neural tube defects are more common in Caucasians than African Americans. Pyloric stenosis and cleft lip are more common in males than females.

The genetic makeup of the mother and her state of health also play a role in teratogenesis. The production of a malformation is dependent upon the ability of a woman to absorb and metabolize a teratogen. In addition, maternal medical disease states can act as teratogens. (See 'Maternal illnesses' below.)

**Route of exposure** — The route of exposure can also impact teratogenic effects. For example, the absorption and action of a drug is usually different if exposure is through the dermis versus systemic delivery. The systemic route may cause abnormalities, while the dermal delivery may not. As an example, topical <a href="fluconazole">fluconazole</a> applied to the skin is considered safe, but systemic fluconazole is potentially teratogenic <a href="fluconazole">[14]</a>. Another example is topical use of retinoic acid versus oral/systemic use.

**Dose and duration of exposure** — The dose and duration of the embryo's exposure to a teratogen are also important. Most drugs exhibit threshold effects (ie, there is a dose below which the incidence of embryonic death, malformation, growth restriction, or functional impairment is not greater than for unexposed controls). Such thresholds are usually one to three orders of magnitude below the teratogenic dose of the drug [15].

When no human data are available, a dose teratogenic in animals that is less than 10-fold higher than the maximum human therapeutic dose suggests a high risk that the drug may be teratogenic in humans. A 100-fold difference between the animal teratogenic dose and the maximal human dose indicates a low risk of potential human teratogenicity. However, teratogenic agents may have different effects in different species. As an example, <a href="thalidomide">thalidomide</a> is not teratogenic in rabbits, but has devastating effects in humans [16]. In addition, many drugs produce malformations in animals when given at 10 to 1000 times the normal dose administered to humans. Extrapolating teratogenic risk using these data is potentially problematic. As an example, high doses of <a href="meclizine">meclizine</a> given to mice cause cleft palate due to appetite suppression, but force feeding the mice prevents the defect [17].

One teratogen may be more harmful in a single large dose than in the same dose spread over several days, while another teratogen may be more harmful when an exposure is prolonged at a lower dose than if the same dose were given all at once. As an example, binge drinking of seven alcoholic beverages may be more harmful to the fetus than daily intake of only one drink for a week. Conversely, an occasional very high maternal blood glucose in an otherwise well-controlled diabetic mother is probably less harmful than a persistently moderately elevated blood glucose. (See "Alcohol intake and pregnancy" and "Pregestational diabetes: Preconception counseling, evaluation, and management".)

Drug-drug interactions can also be important. Two drugs administered together may have synergistic effects, the drugs may act completely independently, or one drug may protect against the teratogenic effects of the other. As an example, the vitamin <u>folic acid</u> may protect against the increased risk of open neural tube defects when taken by women who are taking antiepileptic drugs, such as valproic acid and <u>carbamazepine</u> [18]. However, valproic acid should not be used in pregnancy, if possible [19]. (See "Management of epilepsy and pregnancy".)

**Timing** — The pattern and type of malformation depend in part upon the time of exposure and/or the site of gene action. A brief review of human embryonic development indicates the system likely to be affected by a problem occurring at that time.

Fertilization is the first step and occurs within 24 hours of ovulation. Of note, embryonic age is counted from fertilization (conception) and starts two weeks before gestational age, which is counted from the first day of the last menstrual period. The following are other important milestones in development (figure 1):

- Preimplantation and implantation on days 5 to 11
- Differentiation into three germ layers (ectoderm, mesoderm, and endoderm) by day 16
- Formation of the neural plate by day 19
- Closure of the neural tube by day 27
- Appearance of limb buds by day 30
- Formation of the branchial arches, clefts, pouches, and optic vesicle between weeks 4 and 5
- Formation of the mature heart and kidneys by weeks 5 to 7
- Achievement of mature limb architecture by week 8
- Sexual differentiation of the internal and external genitalia between weeks 7 to 10
- Rotation of the intestines and return into the abdominal cavity in week 10

A significant exposure that occurs during the first 10 to 14 days after fertilization may result in cell death. If enough cells die, spontaneous abortion may occur (see "Spontaneous abortion: Risk factors, etiology, clinical manifestations, and diagnostic evaluation"). If only a few cells are damaged, then their roles may be compensated by other cells. This is known as the all-ornone theory. An example is an early significant exposure to radiation, which usually results in either pregnancy loss or no abnormalities.

The embryo is most vulnerable to teratogenic insults since organogenesis is occurring during the embryonic period of development. The embryonic period in humans can be defined as from fertilization until the end of the 10<sup>th</sup> week of gestation (8<sup>th</sup> week postconception) [20].

During the fetal period, teratogens can cause cell death, retardation of cell growth, or inhibition of normal differentiation. This may result in fetal growth restriction or disorders of the central nervous system that may not be apparent at birth. The eyes, genitalia, central nervous system, and hematopoietic systems continue to develop during the fetal period and remain susceptible to teratogenic insults.

As an example, the risks associated with angiotensin-converting enzyme (ACE) inhibitor exposure are significant during the second and third trimesters (US Food and Drug Administration [FDA] category D) due to blockade of conversion of angiotensinogen I to angiotensin II in the developing fetal kidney. This exposure results in hypotension, renal tubular dysplasia, anuria/oligohydramnios, growth restriction, and calvaria defects. However, these drugs can cause other defects, such congenital heart defects, during the first trimester (FDA category C).

<u>Misoprostol</u>, a prostaglandin E1 analog, can cause severe vascular disruptions in the first trimester (ie, terminal limb defects, Moebius syndrome). It has also been widely used to induce abortions in the first and second trimesters. However, this drug is safe to use during delivery for uterine cervix ripening and to induce labor [21].

Some teratogens act within a narrow window. As an example, the teratogenic effect of <u>thalidomide</u> for limb defects is limited to 21 to 36 days postconception, when limb bud development begins.

**Mechanisms of teratogenesis** — Teratogenesis is thought to occur after fertilization and results from many diverse mechanisms. These include cell death (eg, radiation), blocking of metabolic processes (eg, thioureas, iodides), and alterations in cellular growth and proliferation, migration, and apoptosis (eg, fetal alcohol syndrome), and interactions between cells or between cells and tissues.

Exposure prior to conception may cause genetic mutations, a process known as toxic mutagenesis, although this is controversial. The timing of this process differs in males and females. In females, DNA replication occurs during oogenesis in the fetus, many years before ovulation. Thus, genetic defects may follow fetal exposure. In contrast, continuing spermatogenesis makes males susceptible to mutations throughout their reproductive life.

**Specific teratogens** — Numerous teratogens in the environment can lead to birth defects. Common agents are listed below and in the table (table 1).

Infectious agents — Exposure to infectious agents can result in a variety of problems in the fetus and neonate, including malformations, congenital infection, short- and long-term disability, and death. The pathogenesis of the fetal defects is usually direct invasion of fetal tissues leading to damage from inflammation and cell death. Agents known to be toxic to the fetus or embryo are toxoplasmosis, rubella, cytomegalovirus, herpes, and syphilis (the so-called TORCH infections), as well as varicella, parvovirus B19, Zika virus, and lymphocytic choriomeningitis virus (LCMV). (See "Overview of TORCH infections" and "Syphilis in pregnancy" and "Varicella-zoster virus infection in pregnancy" and "Parvovirus B19 infection during pregnancy" and "Rubella in pregnancy" and "Prenatal evaluation of the HIV-infected woman in resource-rich settings" and "Viral meningitis:

Clinical features and diagnosis in children", section on 'Other viruses' and "Influenza and pregnancy" and "Zika virus infection:

Evaluation and management of pregnant women".)

Nonspecific sonographic signs suggestive of fetal infection include:

- Microcephaly
- · Cerebral or hepatic calcifications
- Intrauterine growth restriction
- Hepatosplenomegaly
- Cardiac malformations, limb hypoplasia, hydrocephalus
- Hydrops

Birth defects associated with disorders of movement and muscle tone, chorioretinitis or cataracts, hearing impairment, hepatosplenomegaly, skin rash, thrombocytopenia, jaundice, or low birth weight are suggestive of congenital infection.

Fever associated with infection also can be teratogenic. (See 'Physical agents' below.)

**Maternal illnesses** — Several maternal illnesses are associated with birth defects. The mechanism is diffusion of a metabolite or antibody across the placenta that is toxic to the fetus.

- Insulin-dependent diabetes mellitus is associated with a two- to threefold increase in risk of congenital anomalies, including
  congenital heart disease, cleft palate, colobomas, and spina bifida, and, less commonly, caudal regression and focal
  femoral hypoplasia. (See "Infant of a diabetic mother".)
- Maternal phenylketonuria (PKU) if not diet controlled is associated with microcephaly, intellectual disability, and congenital heart disease. (See "Overview of phenylketonuria".)
- Androgen-producing tumors of the adrenal glands or ovaries can produce virilization of female fetuses.
- Maternal antibodies present in autoimmune disorders can cross the placenta and cause toxicity in the fetus. Examples include myasthenia gravis leading to transient neonatal myasthenia, maternal Grave disease causing fetal and neonatal thyrotoxicosis, immune thrombocytopenia (ITP) resulting in fetal and neonatal thrombocytopenia, and systemic lupus erythematosus causing fetal heart block. (See "Treatment of myasthenia gravis" and "Hyperthyroidism during pregnancy: Treatment" and "Thrombocytopenia in pregnancy" and "Pregnancy in women with systemic lupus erythematosus".)

Maternal obesity is also associated with an increased risk of certain types of birth defects. The mechanism is unknown. (See "Obesity in pregnancy: Complications and maternal management", section on 'Congenital anomalies'.)

**Physical agents** — Physical agents, such as heat and radiation have been implicated in the pathogenesis of birth defects. Heat exposure may be due to hot tub or sauna use or maternal fever. Elevation of maternal core temperature more than 1.5°C in the first trimester of pregnancy for at least 24 hours may be associated with an increased risk of neural tube defects [22-24]. Other clinical findings associated with high maternal temperature include microcephaly, intellectual disability (mental retardation), hypertonia, hypotonia, and seizures. (See "Intrapartum fever", section on 'Fetal/neonatal consequences'.)

Excessive exposure to ionizing radiation has the potential to produce fetal death, growth disturbances, somatic abnormalities, mutation, chromosome fragmentation, and malignancy. In general, toxic levels are not achieved with diagnostic imaging. However, knowledge of a pregnancy should, in most circumstances, result in a reappraisal of the necessity for and mode of imaging. (See "Diagnostic imaging procedures during pregnancy" and "Radiation-related risks of imaging studies".)

**Drug exposure** — Maternal drug ingestion, including prescription and over-the-counter medications as well as recreational drugs, can also cause adverse fetal and neonatal outcomes. However, it can be extremely difficult to determine whether a particular substance is teratogenic. A partial list of additional known teratogens is provided in the table (table 1).

The US Food and Drug Administration (FDA) requires that all prescription drugs be tested in animal models, usually one rodent and one nonrodent model. Testing establishes both the lowest observed adverse effect level (LOAEL) and the no observed adverse effect level (NOAEL). If the human exposure level is 100 times lower than the NOAEL, adverse effects in humans are considered unlikely. However, results from animal models may not always apply to humans. As an example, <a href="thalidomide">thalidomide</a> is strongly teratogenic in humans, but weakly teratogenic in animals, while the opposite is true for <a href="aspirin">aspirin</a>. A study of drugs approved by the FDA from 2000 to 2010 found that the teratogenic risk in human pregnancy was "undetermined" for 98 percent of the drugs approved for human use [25].

Due to the limitations of drug testing, data regarding the association of drugs or chemicals and birth defects come primarily from case reports of exposed patients. However, these also do not always establish teratogenicity and often need validation by epidemiologic studies. Two promising approaches to providing somewhat better information are prospectively collected exposure data from teratogen information agencies and large-scale birth defects registries [26]. Post-marketing drug registries are also used to monitor for teratogenicity, but these rely on active participation of clinicians and pregnant women and as such are not comprehensive in their surveillance. As previously discussed, some of the drugs can be potentially teratogenic during specific times of fetal development but be innocuous otherwise. (See 'Timing' above.)

The FDA labels prescription drugs during pregnancy in different categories: A, B, C, D, and X. Category A are those drugs that show no risk and have been through well-controlled studies with no adverse defects to the fetus. Category B drugs are those with no defects to humans or with insufficient human studies but that have shown risk to animals. Category C drugs include those in which risk cannot be ruled out, where human studies are lacking and animal studies are positive or lacking as well. In these cases, though, the benefit for the pregnant woman outweighs the risks. Category D drugs are those with positive evidence of risk. Category X includes drugs that are known to be teratogenic based on animal studies and human reports. These drugs have no indication in pregnancy [27]. The effects of the drugs can change category of fetal effects if given during different times in the pregnancy.

Some common teratogenic medications include:

- Angiotensin-converting enzyme (ACE) inhibitors (see <u>"Angiotensin converting enzyme inhibitors and receptor blockers in pregnancy"</u> and <u>'Timing'</u> above)
- · Anticonvulsant agents (see "Risks associated with epilepsy and pregnancy")
- Antineoplastic agents (see "Gestational breast cancer: Epidemiology and diagnosis" and "Management of classical Hodgkin lymphoma during pregnancy")

• Thalidomide, methylene blue, misoprostol, penicillamine, fluconazole, and lithium

Additional known teratogens include the following:

- Folic acid antagonists (eg, trimethoprim, triamterene, carbamazepine, phenytoin, phenobarbital, primidone, methotrexate) increase the risk of neural tube defects and possibly cardiovascular defects, oral clefts, and urinary tract defects [18,28].
- Oral <u>isotretinoin</u>, used to treat severe acne, is associated with ear anomalies (microtia with or without atresia of the ear canal), central nervous system malformations, hydrocephalus, neuronal brain migration defects, cerebellum abnormalities, severe intellectual disability, seizures, optic nerve/retinal abnormalities, conotruncal heart defects, thymic defects, and dysmorphic features [29,30]. (See "Treatment of acne vulgaris", section on 'Pregnancy and acne therapy'.)
- The widely used cholesterol-lowering agents, such as statins (HMG-CoA reductase inhibitors) are completely contraindicated during pregnancy because they may cause severe birth defects due to disruption of cholesterol biosynthesis, which is important in cell membrane morphogenesis. Reported birth defects include limb malformations, congenital heart disease, and CNS abnormalities [31,32].
- Infants whose mothers consume substantial quantities of alcohol during pregnancy can have fetal alcohol effects (FAE), alcohol-related birth defects (ARBD), fetal alcohol syndrome, or they may be normal [33]. (See "Alcohol intake and pregnancy" and "Substance misuse in pregnant women" and "Fetal alcohol spectrum disorder: Clinical features and diagnosis".)
- Cigarette smoking is associated with poor fetal growth mostly due to effects on the placenta [34,35]. Smoking is also linked to increased limb deficiencies in epidemiologic studies [36]. The failure or disruption in formation of limbs or digits may result from vasoactive effects of cigarette compounds on blood vessels. Prenatal exposure can also lead to impaired function of the endocrine, reproductive, respiratory, cardiovascular, and neurologic systems [37]. (See "Cigarette smoking: Impact on pregnancy and the neonate".)

Chemical agents — Chemical agents that can act as teratogens include lead and mercury.

High plasma lead levels are associated with adverse neurobehavioral effects in infants and children. Intrauterine exposure may have similar consequences [38]. Studies of potential associations between parental lead exposure and congenital malformations in offspring have not demonstrated a consistent increase in risk or pattern of defects, but often lack biologic indices of exposure at developmentally significant times [39]. (See "Overview of occupational and environmental risks to reproduction in females", section on 'Lead'.)

Methylmercury exposure, primarily through ingestion of contaminated fish, can cause severe central nervous system damage [40], as well as milder intellectual, motor, and psychosocial impairment [41-43]. Some limitations on fish intake during pregnancy are recommended. (See "Nutrition in pregnancy", section on 'Counseling women about nutrition in pregnancy' and "Fish consumption and docosahexaenoic acid (DHA) supplementation in pregnancy".)

Resources — Several resources are available for information on possible teratogenic exposure. These include:

• National Library of Medicine

Bethesda, MD 800-638-8480

• Reproductive Toxicology Center

REPROTOX
Columbia Hospital for Women Medical Center
Washington, DC
202-293-5137

Teratogen Information System

TERIS and Shepard's Catalog of Teratogenic Agents Seattle, WA 206-543-2465

- Pregnancy Exposure Registries
- Organization of Teratology Information Specialists (OTIS) 877-311-8972
- Motherisk

The Hospital for Sick Children Toronto, Canada 877-439-2744 The Teratology Society

The Teratology Society publishes a free teratology primer

**INFORMATION FOR PATIENTS** — UpToDate offers two types of patient education materials, "The Basics" and "Beyond the Basics." The Basics patient education pieces are written in plain language, at the 5<sup>th</sup> to 6<sup>th</sup> grade reading level, and they answer the four or five key questions a patient might have about a given condition. These articles are best for patients who want a general overview and who prefer short, easy-to-read materials. Beyond the Basics patient education pieces are longer, more sophisticated, and more detailed. These articles are written at the 10<sup>th</sup> to 12<sup>th</sup> grade reading level and are best for patients who want in-depth information and are comfortable with some medical jargon.

Here are the patient education articles that are relevant to this topic. We encourage you to print or e-mail these topics to your patients. (You can also locate patient education articles on a variety of subjects by searching on "patient info" and the keyword (s) of interest.)

Basics topic (see "Patient education: Newborn appearance (The Basics)")

#### **SUMMARY**

- A birth defect is any structural anomaly present at birth. These defects can be caused by genetic abnormalities and/or
  environmental exposures (teratogens), although the underlying etiology is often unknown. (See <u>'Introduction'</u> above and <u>'Overview'</u> above.)
- Specific terms are used to describe congenital anomalies (<u>table 2</u>). In addition, multiple malformations are often grouped in
  a recognizable pattern (<u>table 3</u>). (See <u>"Birth defects: Epidemiology, types, and patterns", section on 'Types and patterns of
  defects'.)
  </u>
- Genetic causes of congenital anomalies include chromosomal disorders, single gene disorders, somatic mutation, and disorders that result from the interaction of multiple genes and environmental factors (multifactorial disorders). (See <u>'Genetic abnormalities'</u> above.)
- Environmental causes of congenital anomalies include multiple gestation pregnancy and teratogens. A teratogen is an
  agent that can cause abnormalities in the form or function of a developing fetus (<u>table 1</u>). The pattern and type of
  malformation depends in part upon the time of exposure and/or the site of gene action. (See <u>'Teratogens'</u> above.)

Use of UpToDate is subject to the Subscription and License Agreement.

#### **REFERENCES**

- 1. Human malformations and related anomalies. In: Oxford monographs on medical genetics, Stevenson RE, Hall JG, Goodman RM (Eds), Oxford University Press, New York 1993. Vol 1.
- Shaheen R, Faqeih E, Sunker A, et al. Recessive mutations in DOCK6, encoding the guanidine nucleotide exchange factor DOCK6, lead to abnormal actin cytoskeleton organization and Adams-Oliver syndrome. Am J Hum Genet 2011; 89:328.
- 3. van Bokhoven H, Hamel BC, Bamshad M, et al. p63 Gene mutations in eec syndrome, limb-mammary syndrome, and isolated split hand-split foot malformation suggest a genotype-phenotype correlation. Am J Hum Genet 2001; 69:481.
- 4. Maas SM, de Jong TP, Buss P, Hennekam RC. EEC syndrome and genitourinary anomalies: an update. Am J Med Genet 1996; 63:472.
- Goltz RW, Henderson RR, Hitch JM, Ott JE. Focal dermal hypoplasia syndrome. A review of the literature and report of two cases. Arch Dermatol 1970; 101:1.
- Grzeschik KH, Bornholdt D, Oeffner F, et al. Deficiency of PORCN, a regulator of Wnt signaling, is associated with focal dermal hypoplasia. Nat Genet 2007; 39:833.
- 7. Hook EB. Chromosome abnormalities and spontaneous fetal death following amniocentesis: further data and associations with maternal age. Am J Hum Genet 1983; 35:110.
- 8. Roger E. Stevenson. The Genetic Basis of Human Anomalies. In: Human Malformations and Related Anomalies, Roger E. Stevenson, Judith G. Hall, Richard M. Goodman. (Eds), Oxford University Press, Oxford 1993. Vol I.
- 9. Wenstrom KD, Johanning GL, Owen J, et al. Role of amniotic fluid homocysteine level and of fetal 5, 10-methylenetetrahydrafolate reductase genotype in the etiology of neural tube defects. Am J Med Genet 2000; 90:12.
- 10. Wenstrom KD, Johanning GL, Johnston KE, DuBard M. Association of the C677T methylenetetrahydrofolate reductase mutation and elevated homocysteine levels with congenital cardiac malformations. Am J Obstet Gynecol 2001; 184:806.
- 11. Prevention of neural tube defects: results of the Medical Research Council Vitamin Study. MRC Vitamin Study Research Group. Lancet 1991; 338:131.

- 12. Czeizel AE, Dudás I. Prevention of the first occurrence of neural-tube defects by periconceptional vitamin supplementation. N Engl J Med 1992; 327:1832.
- Finnell RH, Buehler BA, Kerr BM, et al. Clinical and experimental studies linking oxidative metabolism to phenytoininduced teratogenesis. Neurology 1992; 42:25.
- 14. King CT, Rogers PD, Cleary JD, Chapman SW. Antifungal therapy during pregnancy. Clin Infect Dis 1998; 27:1151.
- Beckman DA, Brent RL. Mechanism of known environmental teratogens: drugs and chemicals. Clin Perinatol 1986;
   13:649.
- 16. LENZ W, KNAPP K. [Thalidomide embryopathy]. Dtsch Med Wochenschr 1962; 87:1232.
- 17. Brent RL. The complexities of solving the problem of human malformations. Clin Perinatol 1986; 13:491.
- 18. Hernández-Díaz S, Werler MM, Walker AM, Mitchell AA. Folic acid antagonists during pregnancy and the risk of birth defects. N Engl J Med 2000; 343:1608.
- 19. Harden CL, Meador KJ, Pennell PB, et al. Management issues for women with epilepsy-Focus on pregnancy (an evidence-based review): II. Teratogenesis and perinatal outcomes: Report of the Quality Standards Subcommittee and Therapeutics and Technology Subcommittee of the American Academy of Neurology and the American Epilepsy Society. Epilepsia 2009; 50:1237.
- 20. Polifka JE, Friedman JM. Medical genetics: 1. Clinical teratology in the age of genomics. CMAJ 2002; 167:265.
- 21. Tang J, Kapp N, Dragoman M, de Souza JP. WHO recommendations for misoprostol use for obstetric and gynecologic indications. Int J Gynaecol Obstet 2013; 121:186.
- 22. Milunsky A, Ulcickas M, Rothman KJ, et al. Maternal heat exposure and neural tube defects. JAMA 1992; 268:882.
- 23. Chambers CD, Johnson KA, Dick LM, et al. Maternal fever and birth outcome: a prospective study. Teratology 1998; 58:251.
- 24. Pleet H, Graham JM Jr, Smith DW. Central nervous system and facial defects associated with maternal hyperthermia at four to 14 weeks' gestation. Pediatrics 1981; 67:785.
- 25. Adam MP, Polifka JE, Friedman JM. Evolving knowledge of the teratogenicity of medications in human pregnancy. Am J Med Genet C Semin Med Genet 2011; 157C:175.
- 26. Lisi A, Botto LD, Robert-Gnansia E, et al. Surveillance of adverse fetal effects of medications (SAFE-Med): findings from the international Clearinghouse of birth defects surveillance and research. Reprod Toxicol 2010; 29:433.
- 27. Buhimschi CS, Weiner CP. Medications in pregnancy and lactation: Part 2. Drugs with minimal or unknown human teratogenic effect. Obstet Gynecol 2009; 113:417.
- 28. Hernández-Díaz S, Werler MM, Walker AM, Mitchell AA. Neural tube defects in relation to use of folic acid antagonists during pregnancy. Am J Epidemiol 2001; 153:961.
- 29. Hanson N, Leachman S. Safety issues in isotretinoin therapy. Semin Cutan Med Surg 2001; 20:166.
- 30. Koren G, Pastuszak A, Ito S. Drugs in pregnancy. N Engl J Med 1998; 338:1128.
- 31. Petersen EE, Mitchell AA, Carey JC, et al. Maternal exposure to statins and risk for birth defects: a case-series approach. Am J Med Genet A 2008; 146A:2701.
- 32. Taguchi N, Rubin ET, Hosokawa A, et al. Prenatal exposure to HMG-CoA reductase inhibitors: effects on fetal and neonatal outcomes. Reprod Toxicol 2008; 26:175.
- **33**. Mattson SN, Schoenfeld AM, Riley EP. Teratogenic effects of alcohol on brain and behavior. Alcohol Res Health 2001; 25:185.
- 34. Bailey RR. The effect of maternal smoking on the infant birth weight. N Z Med J 1970; 71:293.
- 35. Windham GC, Eaton A, Hopkins B. Evidence for an association between environmental tobacco smoke exposure and birthweight: a meta-analysis and new data. Paediatr Perinat Epidemiol 1999; 13:35.
- **36.** Caspers KM, Romitti PA, Lin S, et al. Maternal periconceptional exposure to cigarette smoking and congenital limb deficiencies. Paediatr Perinat Epidemiol 2013; 27:509.
- 37. Holbrook BD. The effects of nicotine on human fetal development. Birth Defects Res C Embryo Today 2016; 108:181.
- **38.** Gomaa A, Hu H, Bellinger D, et al. Maternal bone lead as an independent risk factor for fetal neurotoxicity: a prospective study. Pediatrics 2002; 110:110.
- 39. Rischitelli G, Nygren P, Bougatsos C, et al. Screening for elevated lead levels in childhood and pregnancy: an updated summary of evidence for the US Preventive Services Task Force. Pediatrics 2006; 118:e1867.
- 40. Harada M. Congenital Minamata disease: intrauterine methylmercury poisoning. Teratology 1978; 18:285.
- 41. Crump KS, Kjellström T, Shipp AM, et al. Influence of prenatal mercury exposure upon scholastic and psychological test performance: benchmark analysis of a New Zealand cohort. Risk Anal 1998; 18:701.

- **42.** Grandjean P, Weihe P, White RF, et al. Cognitive deficit in 7-year-old children with prenatal exposure to methylmercury. Neurotoxicol Teratol 1997; 19:417.
- 43. Kjellstrom T, Kennedy P, Wallis S, et al. Physical and mental development of children with prenatal exposure to mercury from fish. Stage 1 and stage 2 results at ages 4 and six. National Swedish Environmental Board Reports #3080 (1980) and 3642 (1986).

Topic 110900 Version 1.0

## **GRAPHICS**

# Selected agents with potential adverse fetal effects

Reproductive toxin	Alleged effects	Reproductive toxin	Alleged effects	
Aminopterin, methotrexate	Growth retardation, microcephaly, meningomyelocele, mental retardation, hydrocephalus, and	Tetracycline	This drug produces bone and teeth staining; it does not increase the risk of any other malformations.	
Androgens	cleft palate  Masculinization of the developing female fetus can occur from androgens and high doses of some male-derived progestins.	Thalidomide  This drug results in an increased incidence deafness, anotia, preaxial limb-reduction defects, phocomelia, ventricular septal defects, and gastrointestinal atresias. The susceptible period is from the 22 <sup>nd</sup> to the 36 <sup>th</sup> day after conception.		
Angiotensin-converting enzyme inhibitors and angiotensin receptor blockers	Fetal hypotension syndrome in second and third trimester resulting in fetal kidney hypoperfusion and anuria, oligohydramnios, pulmonary hypoplasia, and cranial bone hypoplasia. Heart defects from first trimester exposure.	Trimethoprim	This drug was used frequently to treat urinary tract infections and has been linked to an increased incidence of neural tube defects. The risk is not high, but it is biologically plausible because of the drug's effect on lowering folic acid levels, which has resulted	
Antidepressants	Recent publications have implicated some of the SSRIs administered in		in neurologic symptoms in adults taking this drug.	
	the last trimester with postnatal neurobehavioral effects that are transient and whose long-term effects have not been determined. First-trimester exposures to some SSRIs have been reported to increase the risk of some congenital malformations, predominantly congenital heart disease. The results have not been consistent,	Vitamin A	The malformations reported with the retinoids have been reported with very high doses of vitamin A (retinol). Doses to produce birth defects would have to be in excess of 25,000 to 50,000 units/day.	
		Warfarin and warfarin derivatives	Early exposure during pregnancy can result in nasal hypoplasia, stippling of secondary epiphysis, intrauterine growth restriction. CNS malformations can occur in late pregnancy exposure because of bleeding.	
Antituberculous therapy	but warnings have been issued.  Isoniazid and paraaminosalicylic	Anticonvulsants		
Caffeine	acid have an increased risk for some CNS abnormalities.  Moderate caffeine exposure is not	Phenytoin	Increases risk of fetal hydantoin syndrome, consisting of facial dysmorphology, cleft palate, ventricular septal defect, and growth	
	associated with birth defects; high exposures are associated with an increased risk of abortion, but the data are inconsistent.	Trimethadione and paramethadione	and mental retardation.  Increases the risk of characteristic facial dysmorphology, mental retardation, V-shape eyebrows, low-set ears with anteriorly folder.	
Cobalt in hematemic multivitamins	Fetal goiter.		helix, high-arched palate, irregular teeth, CNS anomalies, and severe developmental delay.	
Cocaine	Vascular disruptive type malformations in very low incidence; pregnancy loss.	Valproic acid	Increases the risk of spina bifida, facial dysmorphology, autism, atrial septal defect cleft palate, hypospadias, polydactyly, and	
Corticosteroids	High exposures administered systemically have a low risk for cleft palate in some studies, but the epidemiologic studies are not	Carbamazepine	Increases the risk facial dysmorphology, neural tube defects, cardiovascular defects, and urinary tract defects.	
Cyclophosphamide and	consistent.  Many chemotherapeutic agents used to treat cancer have a theoretical risk for producing malformations in the fetus when administered to pregnant women, especially because most of these drugs are teratogenic in animals, but the clinical data are not consistent. Many of these drugs have not been shown to be teratogenic, but the numbers of cases in the studies are small.	Chemicals		
other chemotherapeutic agents and immunosuppressive agents (eg, cyclosporine, leflunomide)		Carbon monoxide poisoning	CNS damage has been reported with very high exposures, but the risk seems to be low.*	
		Lead	Very high exposures can cause pregnancy loss; intrauterine teratogenesis is not established at very low exposures below 20 microgram/percent in the serum of pregnant mothers.	
		Gasoline addiction embryopathy	Facial dysmorphology, mental retardation	
Diethylstilbestrol	Caution is the byword.  Administration during pregnancy produces genital abnormalities, adenosis, and clear cell adenocarcinoma of vagina in adolescents. The last has a risk of 1:1000 to 1:10,000, but the other	Methyl mercury	Minamata disease consists of cerebral palsy, microcephaly, mental retardation, blindness, and cerebellum hypoplasia. Other epidemics have occurred from adulteration of wheat with mercury-containing chemicals that are used to prevent grain spoilage. Present environmental levels of mercury are unlikely	

	effects, such as adenosis, can be quite high.		to represent a teratogenic risk, but reducing or limiting the consumption of carnivorous fish has been suggested to avoid exceeding
Ethyl alcohol	Fetal alcohol syndrome consists of microcephaly, mental retardation, growth retardation, typical facial dysmorphogenesis, abnormal ears, small palpebral fissures.		the maximum permissible exposure recommended by the Environmental Protection Agency, an exposure level far below the level at which the toxic effects of mercury are seen.
Ionizing radiation	Radiation exposure above a threshold of 20 rad (0.2 Gy) can increase the risk for some fetal effects such as microcephaly or growth retardation, but the threshold for mental retardation is higher.	Polychlorinated biphenyls	Poisoning has occurred from adulteration of food products ("Cola-colored babies," CNS effects, pigmentation of gums, nails, teeth, and groin; hypoplastic deformed nails; intrauterine growth retardation; abnormal skull calcification). The threshold exposure has not been determined, but it is unlikely to
Insulin shock therapy	Insulin shock therapy, when administered to pregnant women, resulted in microcephaly, mental	Toluene addiction	be teratogenic at the present environmental exposures.  Facial dysmorphology, mental retardation
Lithium therapy	retardation.  Chronic usage for the treatment of	embryopathy	
.,	manic depressive illness has an increased risk for Ebstein's anomaly and other malformations, but the risk seems to be very low.	Embryonic and fetal	
		Cytomegalovirus infection	Retinopathy, CNS calcification, microcephaly, mental retardation
Minoxidil	This drug's promotion of hair	Rubella	Deafness, congenital heart disease, microcephaly, cataracts, mental retardation
	growth was discovered because administration during pregnancy	Herpes simplex	Fetal infection, liver disease, death
	resulted in hirsutism in newborns.	HIV	Perinatal HIV infection
Methimazole	Aplasia cutis has been reported to be increased in mothers	Parvovirus infection, B19	Stillbirth, hydrops
Methylene blue intra- amniotic instillation	administered this drug during pregnancy.*  Fetal intestinal atresia, hemolytic anemia, and jaundice in neonatal	Syphilis	Maculopapular rash, hepatosplenomegaly, deformed nails, osteochondritis at joints of extremities, congenital neurosyphilis, abnormal epiphyses, chorioretinitis
	period. This procedure is no longer used to identify one twin.	Toxoplasmosis	Hydrocephaly, microphthalmia, chorioretinitis, mental retardation
Misoprostol	A low incidence of vascular disruptive phenomenon, such as limb-reduction defects and Mobius syndrome, has been reported in pregnancies in which this drug was used to induce an abortion.	Varicella zoster	Skin and muscle defects; intrauterine growth retardation; limb reduction defects, CNS damage (very low increased risk)
		Venezuelan equine encephalitis	Hydranencephaly; microphthalmia; destructive CNS lesions; luxation of hip
Mycophenolate mofetil	First trimester exposure associated with miscarriage, abnormalities of	Maternal disease st	ates
Danielli orine	the ear, distal limbs, heart, esophagus, kidney, and cleft lip/palate.	Corticosteroid- secreting endocrinopathy	Mothers who have Cushing's disease can have infants with hyperadrenocortism, but anatomic malformations do not seem to be
Penicillamine (D-penicillamine)	This drug results in the physical effects referred to as "lathyrism," the results of poisoning by the seeds of the genus <i>Lathyrus</i> . It causes collagen disruption, cutis laxa, and hyperflexibility of joints. The condition seems to be reversible, and the risk is low.	Iodine deficiency	increased.  Can result in embryonic goiter and mental retardation.
		Intrauterine problems of constraint and vascular	These defects are more common in multiple- birth pregnancies, pregnancies with anatomic defects of the uterus, placental emboli, or amniotic bands. Possible birth defects include
Progestin therapy	Very high doses of androgen hormone-derived progestins can produce masculinization. Many drugs with progestational activity do not have masculinizing potential. None of these drugs have the potential for producing nongenital malformations.	disruption	club feet, limb-reduction defects, aplasia cutis, cranial asymmetry, external ear malformations, midline closure defects, cleft palate and muscle aplasia, cleft lip, omphalocele, and encephalocele).
		Maternal androgen endocrinopathy (adrenal tumors)	Masculinization of female fetuses
Propylthiouracil	This drug and other antithyroid medications administered during pregnancy can result in an infant born with a goiter.	Maternal diabetes with poor glycemic control	Increases the risk of a wide variety of congenital anomalies; cardiac abnormalities are most common.
Radioactive isotopes	Tissue- and organ-specific damage depends on the radioisotope element and distribution (ie, high	Maternal folic acid in reduced amounts	An increased incidence of neural tube defects.
	doses of Iodine-131 administered to a pregnant woman can cause fetal	Maternal phenylketonuria	

	thyroid hypoplasia after the eighth week of development).		Abortion, microcephaly, and mental retardation; very high risk in untreated
	Systemic retinoic acid, isotretinoin, and etretinate can cause increased risk of CNS, cardioaortic, ear, and clefting defects such as microtia, anotia, thymic aplasia, other branchial arch and aortic arch abnormalities, and certain congenital heart malformations.	Maternal starvation	Intrauterine growth restriction, abortion, neural tube defects (Dutch famine experience)
		Tobacco smoking	Abortion, intrauterine growth restriction, stillbirth
		Zinc deficiency*	Neural tube defects*
Retinoids, topical	Topical administration is very unlikely to have teratogenic potential, because teratogenic serum levels cannot be attained by topical exposure to retinoids.		
Streptomycin	Streptomycin and a group of ototoxic drugs can affect the eighth nerve and interfere with hearing; it is a relatively low-risk phenomenon. Children are less sensitive than adults to the ototoxic effects of these drugs.		
Sulfa drugs and vitamin K	These drugs can produce hemolysis in some subpopulations of fetuses.		

SSRI: selective serotonin reuptake inhibitor; CNS: central nervous system; HIV: human immunodeficiency virus.

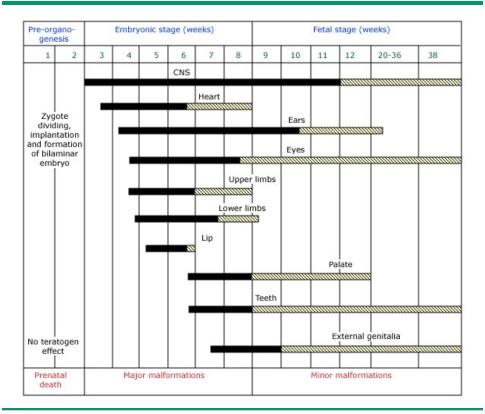
Reproduced with permission from: Brent, RL. How does a physician avoid prescribing drugs and medical procedures that have reproductive and developmental risks? Clin Perinatol 2007; 34:233. Copyright © 2007 Elsevier.

Updated July 15, 2010 Gerald Briggs, B.Pharm.

Graphic 73369 Version 12.0

<sup>\*</sup> Controversial.

### **Embryonic development**



The black bars represent the critical period during which development may be disrupted by a teratogen resulting in a major structural malformation. Cell differentiation occurs over a longer period (hatched bars); exposure during this period can result in minor structural malformations, growth restriction, or functional deficiency. Note, embryonic age is counted from fertilization, whereas menstrual age (ie, gestational age) is counted from the first day of the last menstrual period. Thus, an embryonic age of six weeks corresponds to a menstrual age (gestational age) of eight weeks.

CNS: central nervous system.

Graphic 65456 Version 4.0

# Terms used to describe congenital abnormalities

Term	Definition	
Malformation	Defects of organs or body parts due to an intrinsically abnormal developmental process	
Deformation	Abnormalities of the position of body parts due to extrinsic intrauterine mechanical forces that modify a normally formed structure	
Disruption	Defects of organs or body parts that result from destruction of or interference with normal vascular development	
Dysplasia	Anomalies that result from the abnormal organization of cells into tissues	

Graphic 57394 Version 3.0

### Recognizable patterns of multiple congenital anomalies

Pattern	Definition	
Syndrome	Pattern of anomalies that occur together and are associated with a set number of signs and symptoms	
Sequence	Pattern of anomalies in which a single known defect in development causes a cascade of subsequent abnormalities	
Field defect	Pattern of anomalies caused by disturbance of a developmental field (a region of the embryo that develops in a contiguous physical space)	
Association	Two or more anomalies that are not pathogenetically related and occur together more frequently than expected by chance	

Graphic 75281 Version 3.0

#### **Contributor Disclosures**

Carlos A Bacino, MD, FACMG Grant/Research/Clinical Trial Support: BioMarin [Achondroplasia phase-II clinical trial (Vosoritide)]. Speaker's Bureau: Alexion [Diagnosis of hypophophatasia]. Helen V Firth, DM, FRCP, DCH Nothing to disclose Louise Wilkins-Haug, MD, PhD Nothing to disclose Elizabeth TePas, MD, MS Nothing to disclose

Contributor disclosures are reviewed for conflicts of interest by the editorial group. When found, these are addressed by vetting through a multi-level review process, and through requirements for references to be provided to support the content. Appropriately referenced content is required of all authors and must conform to UpToDate standards of evidence.

Conflict of interest policy